Applying Machine Learning to Stabilize the Source Size in the ALS Storage Ring

IPAC20

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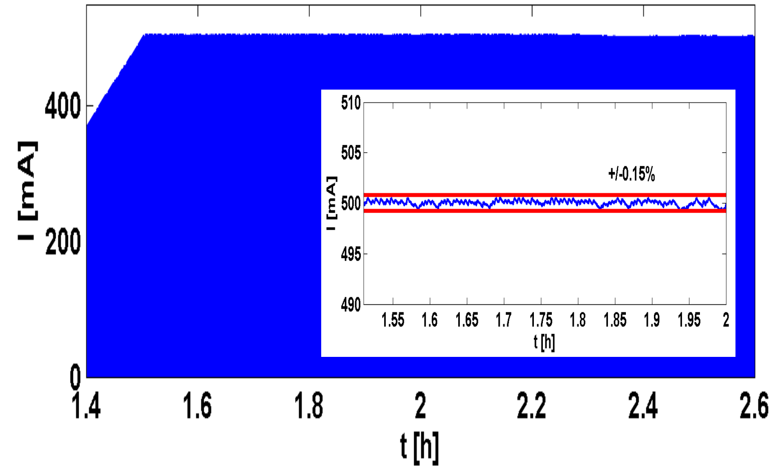
Many Successful Efforts to Stabilize Electron Beams

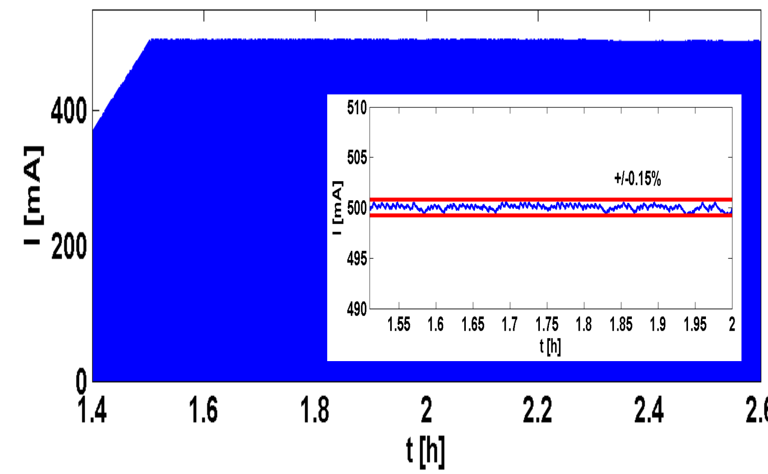
- **Top-off** keeps ALS stored current variation <0.2%

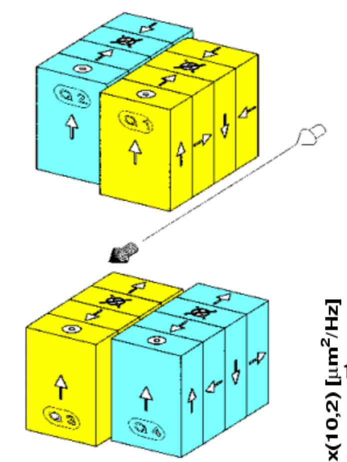


Courtesy: C. Steier, PAC'09

Many Successful Efforts to Stabilize Electron Beams

- **Top-off** keeps ALS stored current variation <0.2%
- At low energy, ALS strongly affected by ID imperfections & continuously changing EPU gaps/phases
  - **Orbit feedback** and ID feed-forwards stabilize source positions/angles to **sub-micron** level at many tens of Hz





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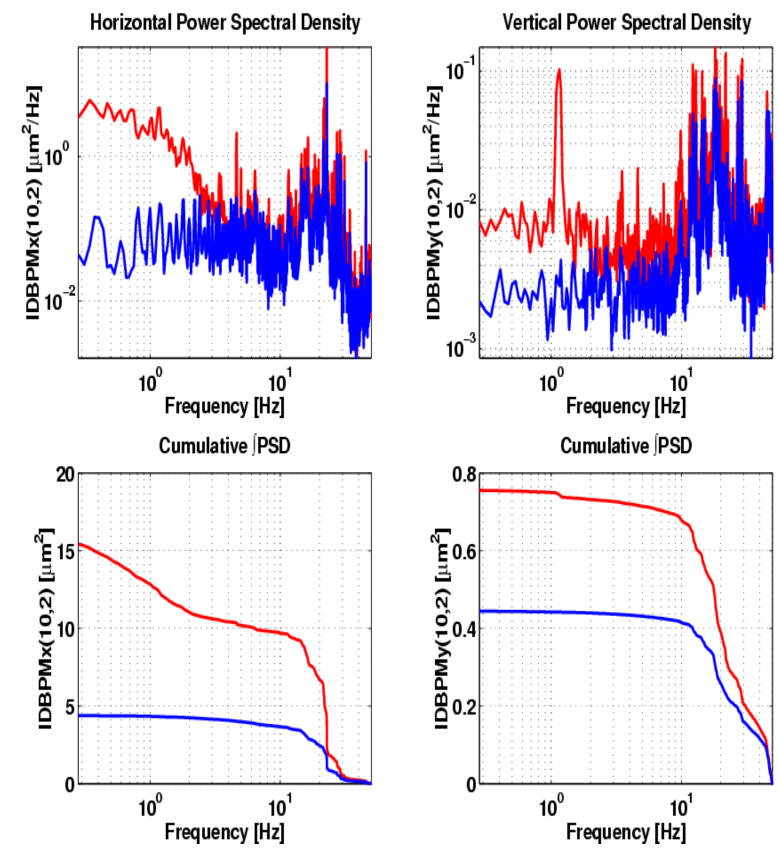

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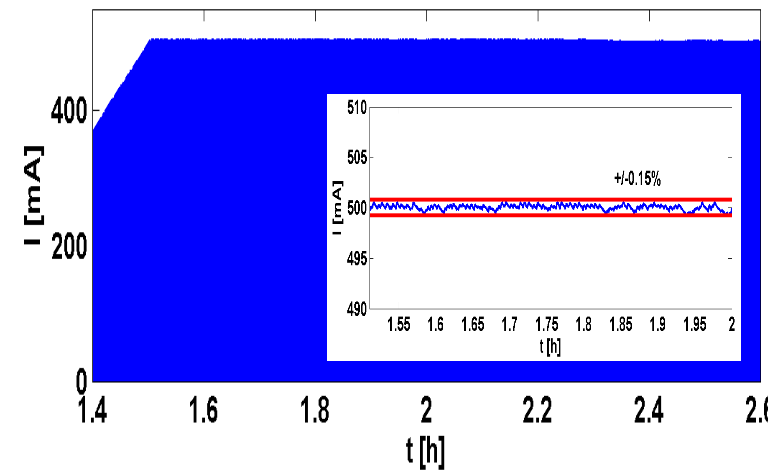
  - – **Orbit feedback** and ID feed-forwards stabilize source positions/angles to **sub-micron** level at many tens of Hz
  - – **ID feed-forwards** & tune feedback stabilize optics at source points
  - – **ID skew feed-forwards** stabilize source size
    - require recording lookup tables (time consuming)
    - tables are imperfect and **machine drifts** over time



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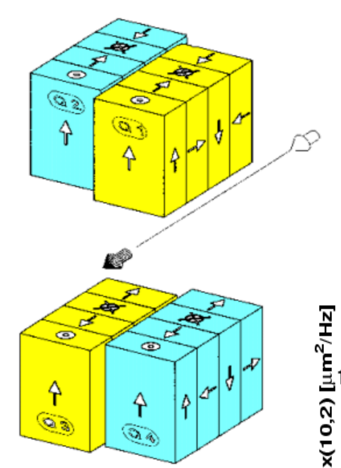
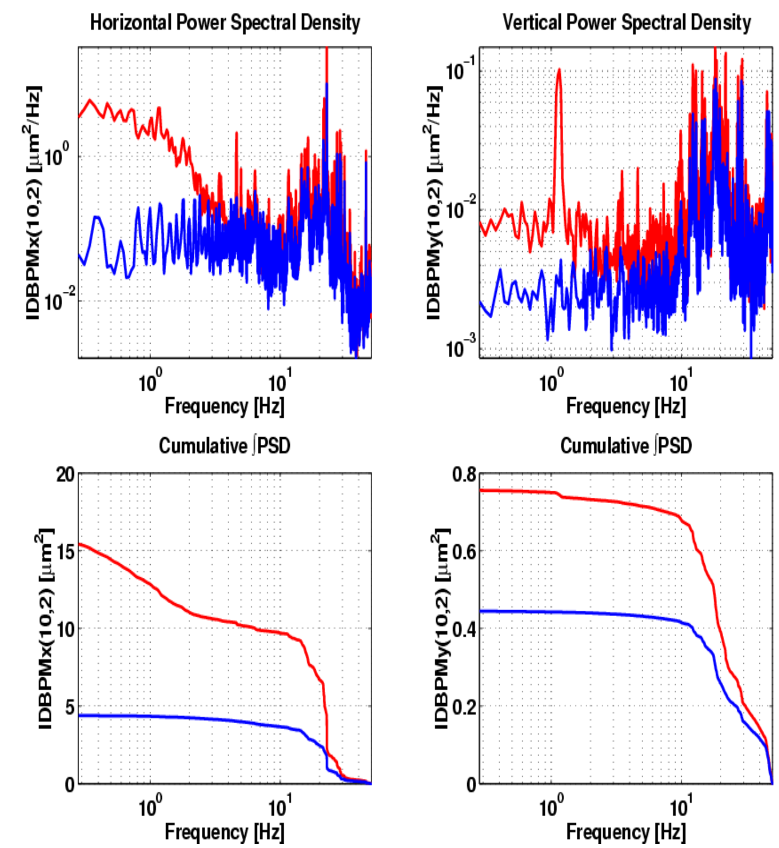
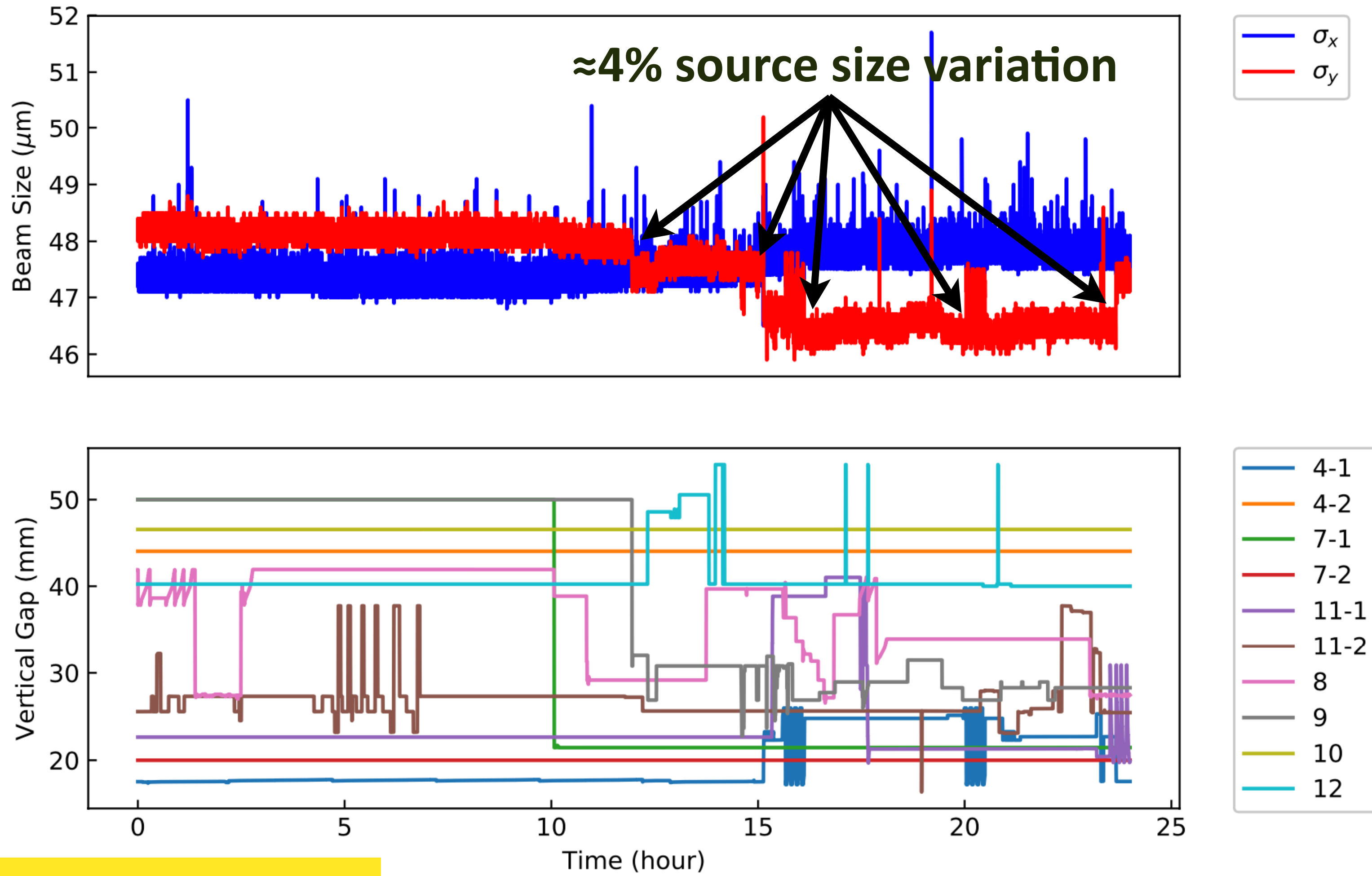




Thermal, Ground, Water Table, etc.

The Problem: Beam Size vs. ID Motion

- Nevertheless, during routine user ops observe vertical source size variations when ID configurations change



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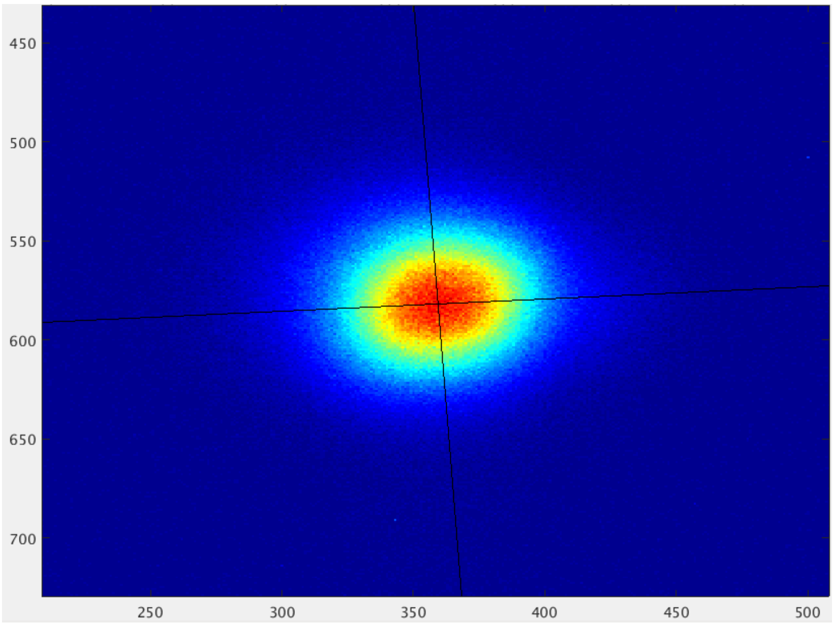
ALS Diagnostic Beamline 3.1



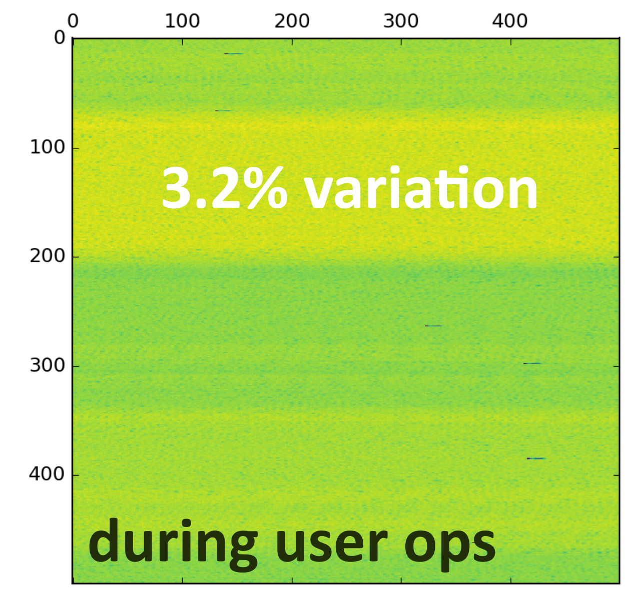
SR from 1st arc dipole ("round beam") → KB mirrors → C filter → 1-3 keV x-rays → LYSO scintillator crystal → visible → CCD

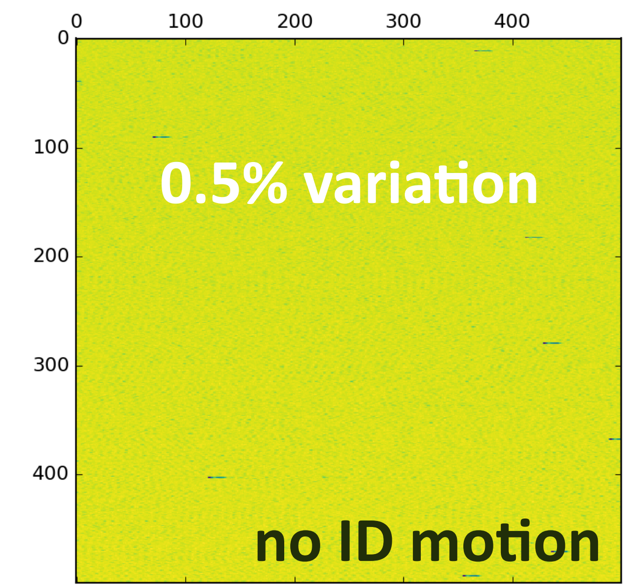
Rev. Sci. Instrum. **67**, 3368 (1996)

- Traditionally 3rd-gen. sources considered <10% acceptable, but...

How this Problem Affects Sensitive Experiments

- Vertical source size fluctuations show up as intensity variations at highly sensitive beamlines, such as the STXM at ALS beamline 5.3.2.2
  - STXM zone plate focal length ≈1 mm ➔ no independent & reliable I_0 measurement
  - Very small spot size in focus (>20 nm ➔ scan >10×10 μm^2)
  - Fast raster scanning for differential measurements ➔ no averaging (≈1 ms/pixel, 1 s/line, 6 min/scan)
  - Monochromator plane is H ➔ V source size fluctuations directly affect experimental noise floor
- 4th-gen. sources such as ALS-U will be equipped with many more such highly sensitive beamlines: STXM, XPCS, ptychography, etc.





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Need to Solve This Problem at the Source

- Why use **Machine Learning (ML)** to attack this issue?
  - ML can model highly nonlinear processes and is extremely flexible
  - ML does not require a priori understanding underlying physics (e.g. machine drift) → but allows extracting valuable system information a posteriori
- ML requires reproducible events → confirmed in experiments
- ML ideally requires large data sets for training → ALS digital control system can provide that

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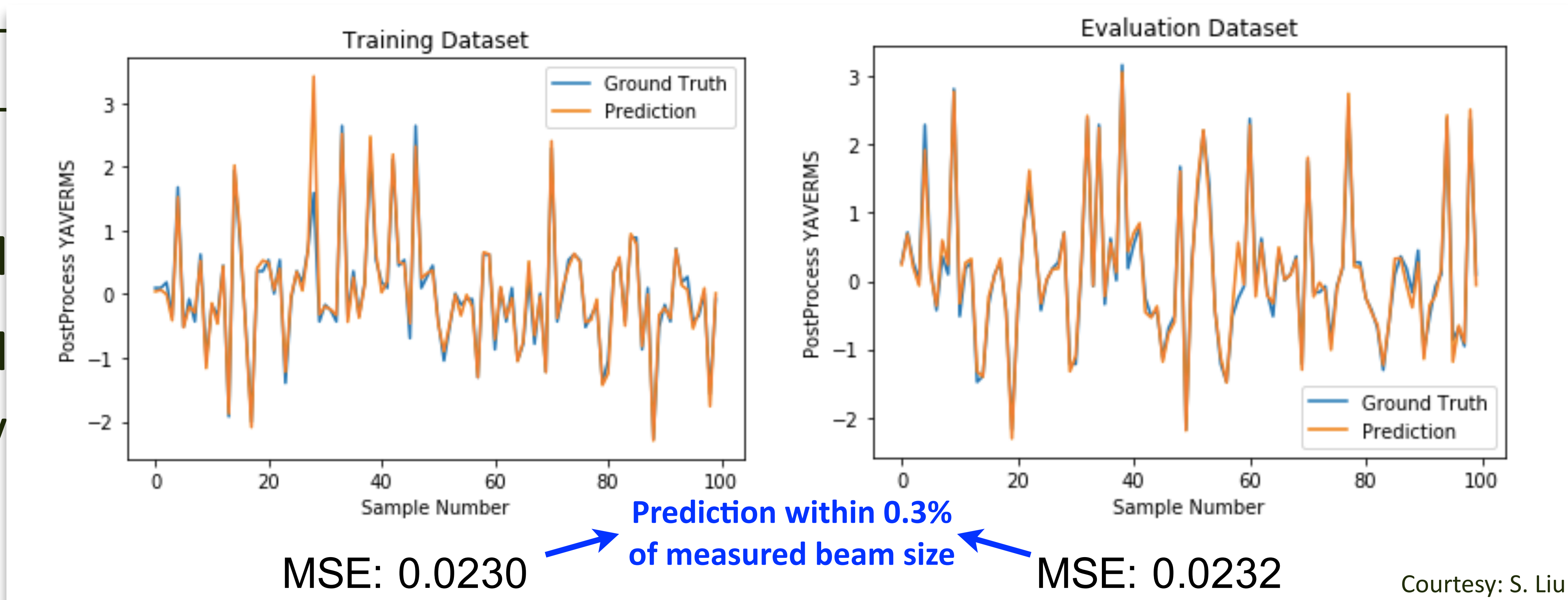


- First example: offline analysis of user ops data
  - 26 ID parameters ("input") ➔ predict V beam size @ BL3.1 ("output")
  - Recorded 8 Msamples @ 10 Hz ➔ 6 Msamples used for training, 2 Msamples for validation ➔ training took 30 min on powerful GPU

From Prediction to Correction

- Introduced "dispersion wave parameter" (DWP) to modify standard ALS dispersion wave (skew quadrupole excitation pattern) → allows adjusting vertical emittance (global conserved quantity)

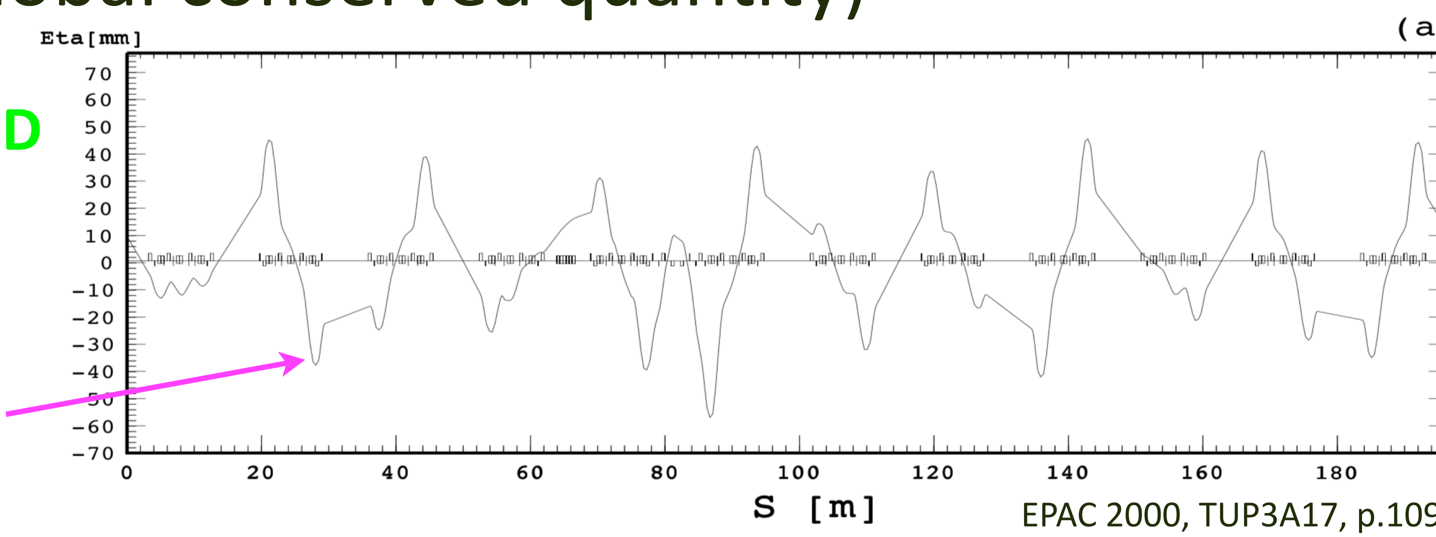
SQSF SQSD

$$\vec{K} = \vec{K}_0 + (\chi_0 + \chi)\Delta\vec{K}, \quad \vec{K} \in \mathbb{R}^{16+16}$$

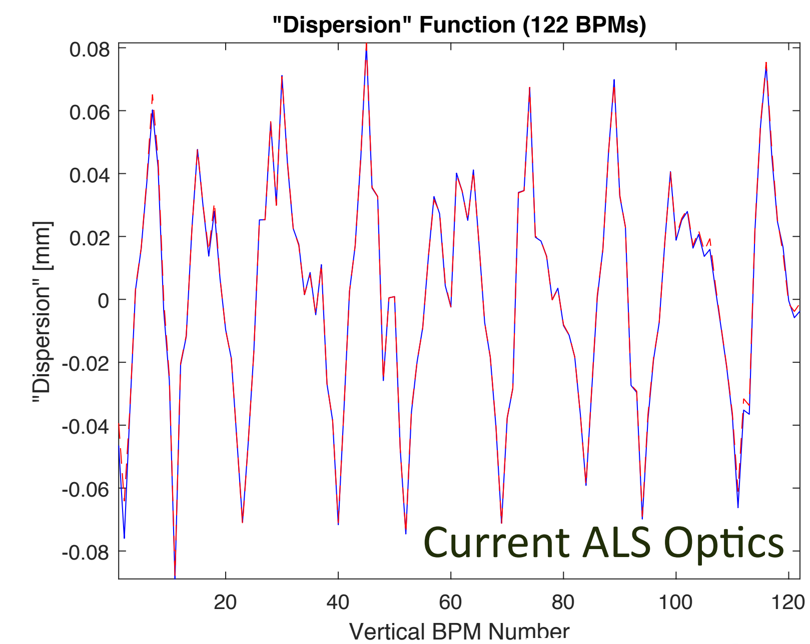
LOCO & Setup

DWP

Dispersion Wave



EPAC 2000, TUP3A17, p.1098



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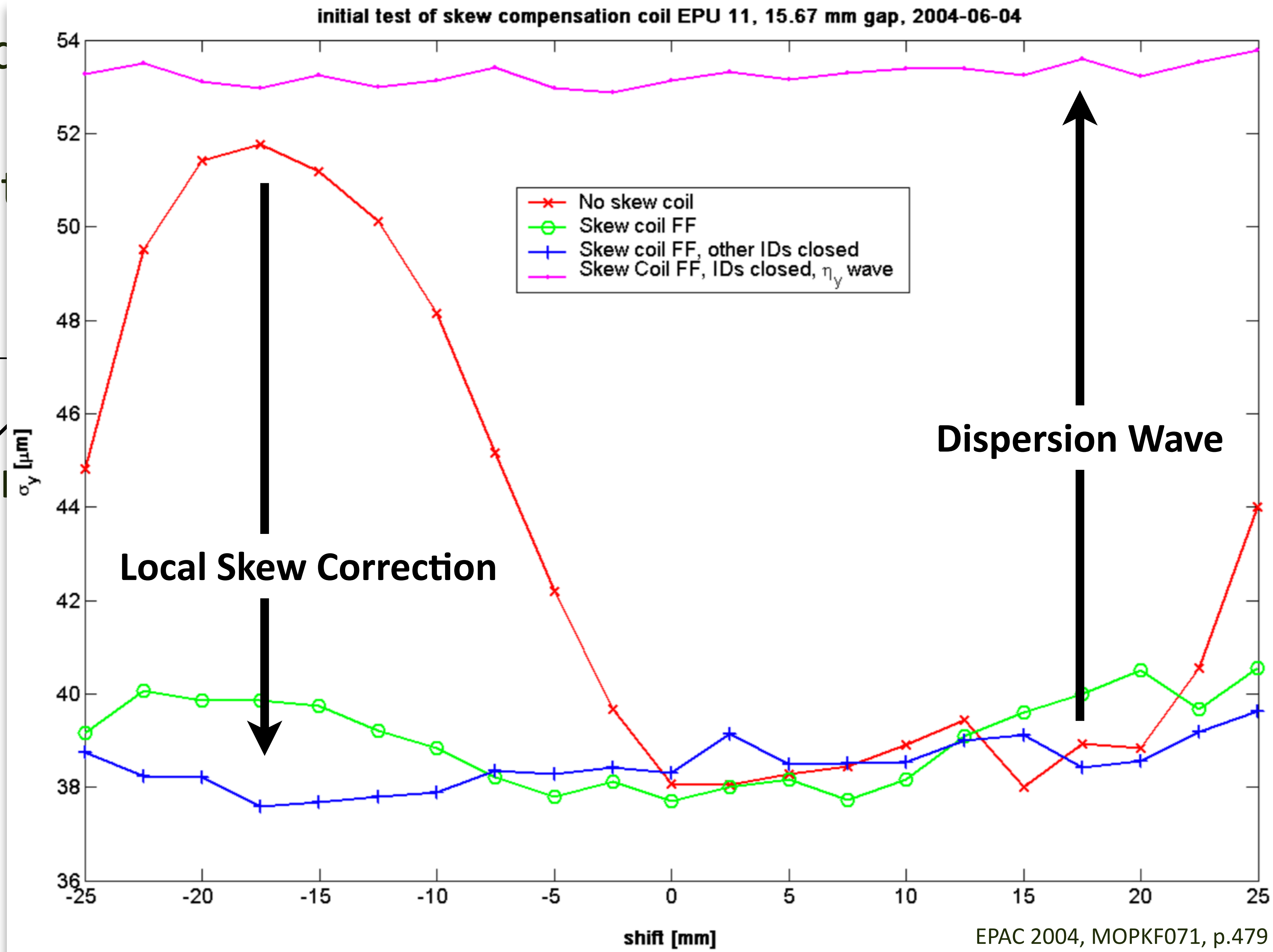
ALS

From Prediction to Correction (cont.)

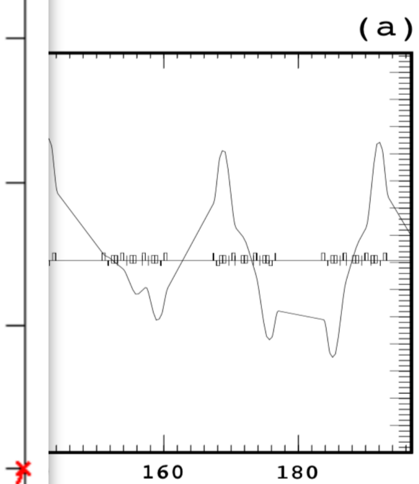
- Introd… ndard ALS d… lows adjust…

$\vec{K} = \vec{K}_0 +$

LOCO & Setu



EPAC 2004, MOPKF071, p.479



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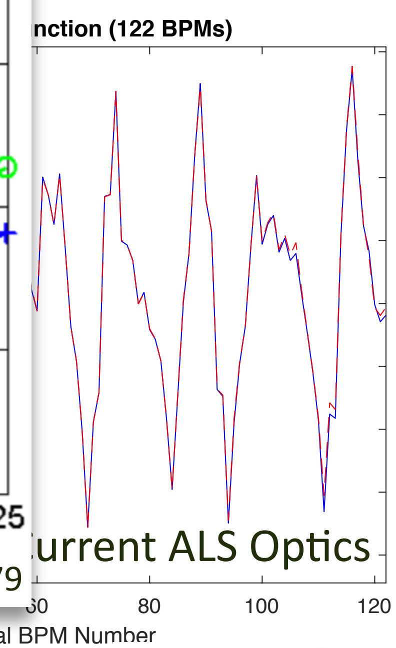

Current ALS Optics

From Prediction to Correction (cont.)

- Introduced "dispersion wave parameter" (DWP) to modify standard ALS dispersion wave (skew quadrupole excitation pattern) ➔ allows adjusting vertical emittance (global conserved quantity)

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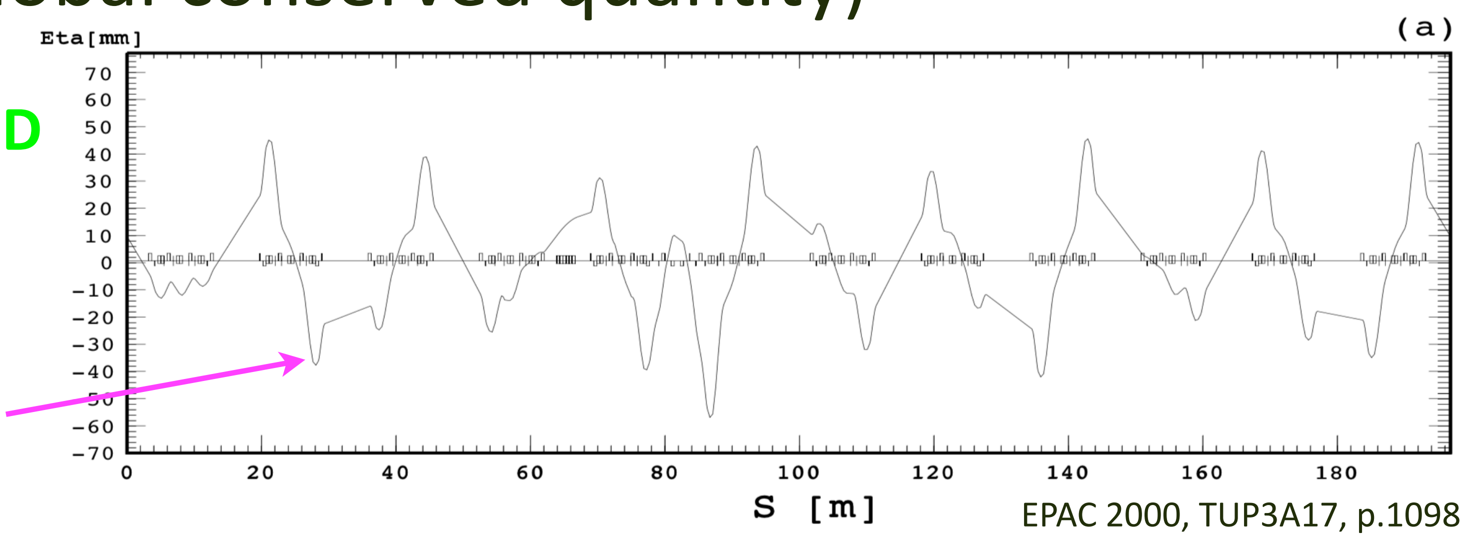
SQSF SQSD

LOCO & Setup

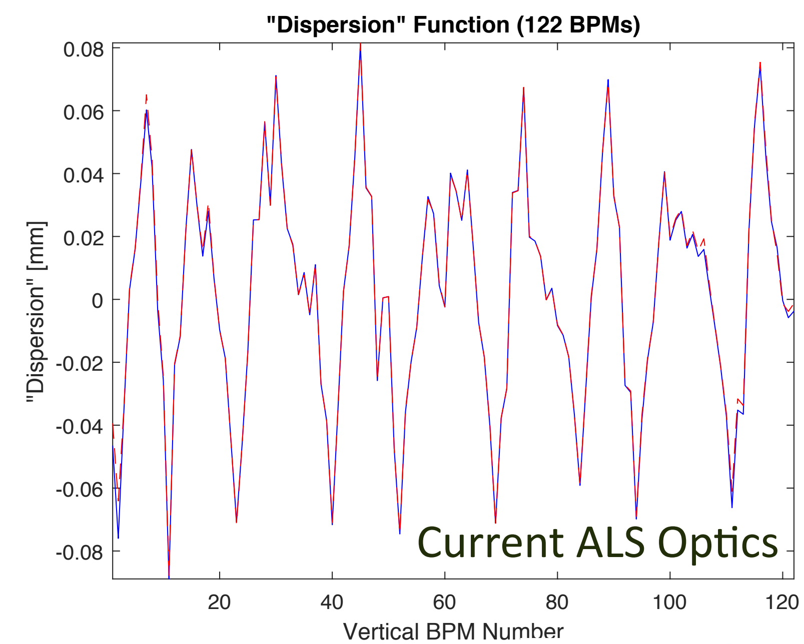
DWP

Dispersion Wave

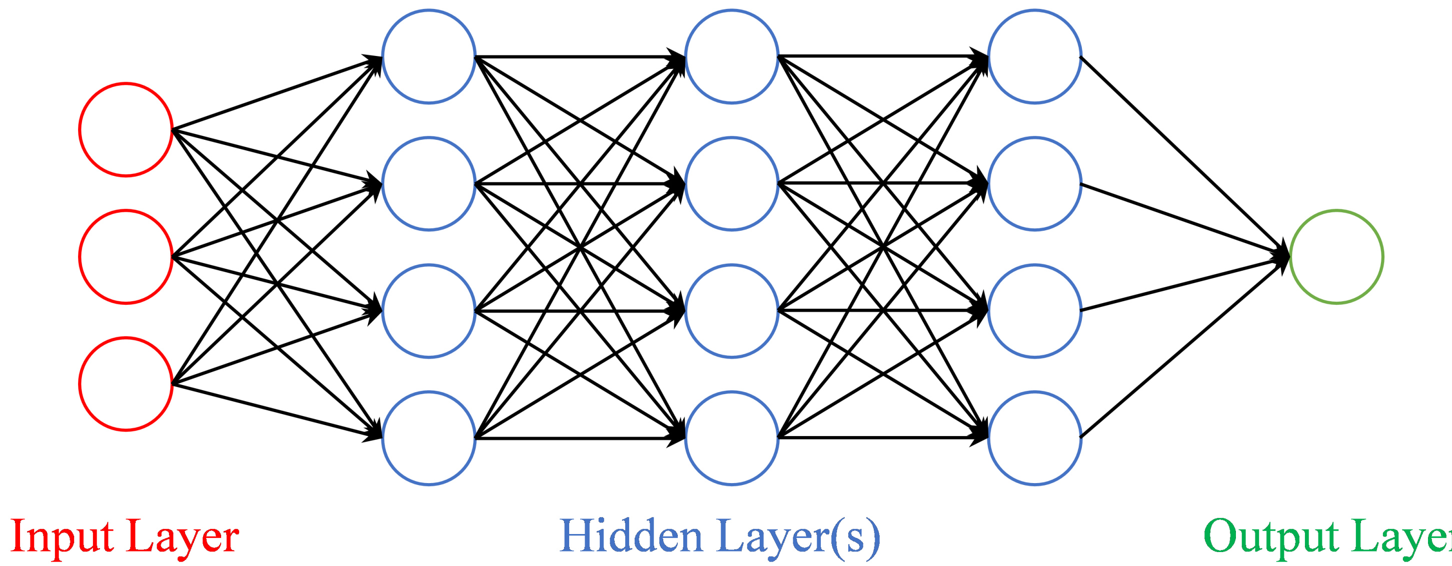


EPAC 2000, TUP3A17, p.1098

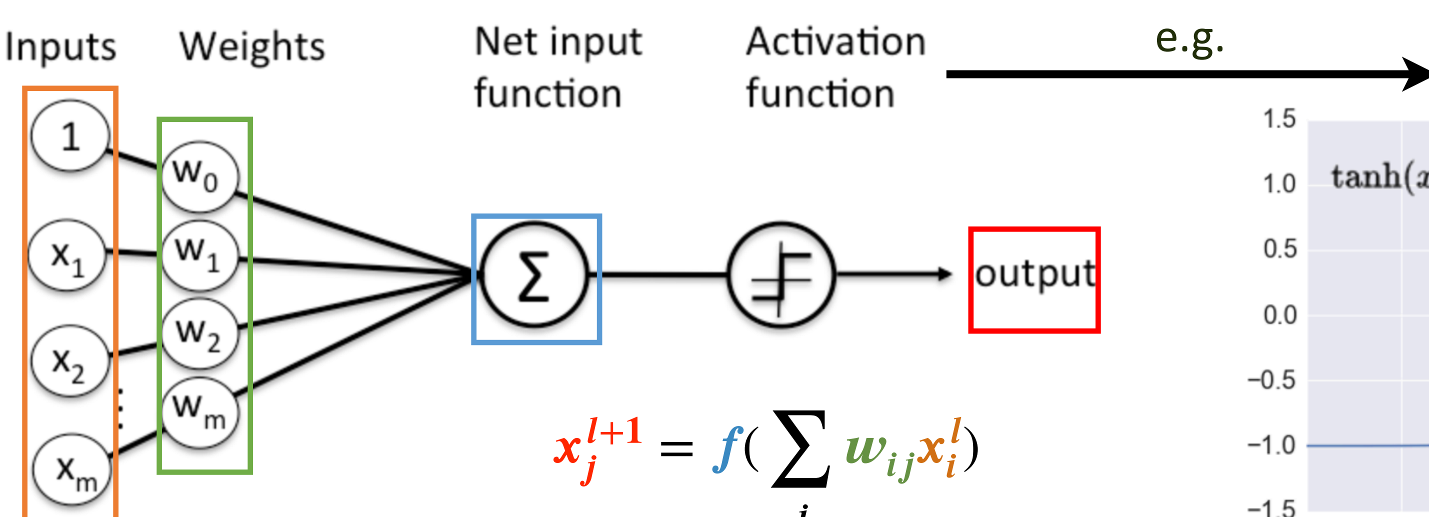
- Observed varying ID configurations affect primarily vertical dispersion ➔ ε_y
- Can therefore stabilize beam size globally by adjusting DWP



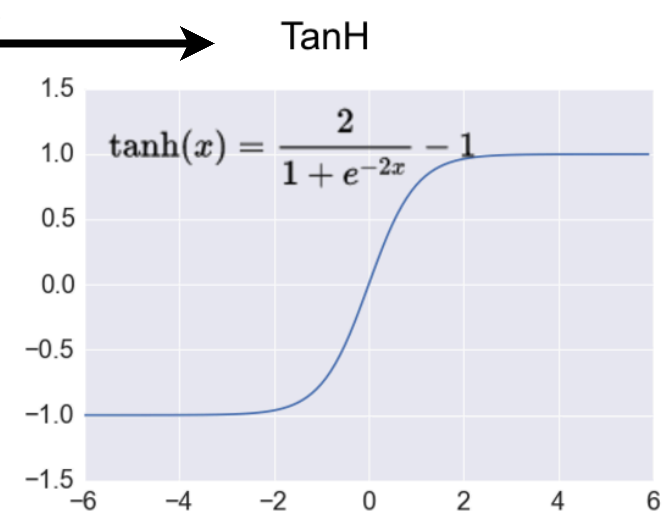
How a Neural Network (NN) Works

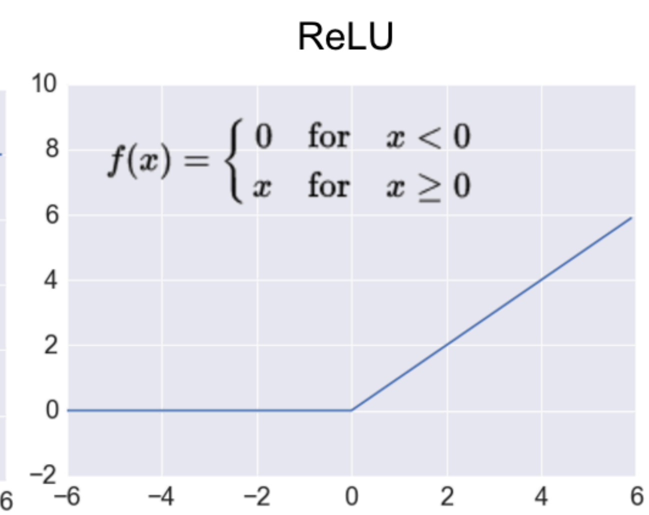


Courtesy: S. Liu

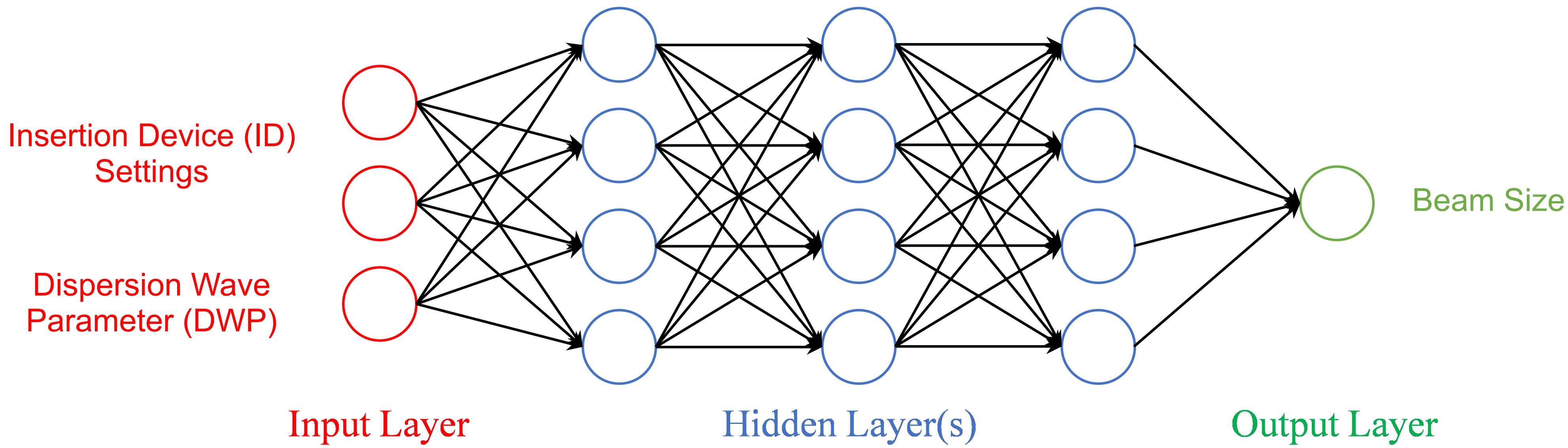


$$x_j^{l+1} = f\left(\sum_j w_{ij} x_i^l\right)$$



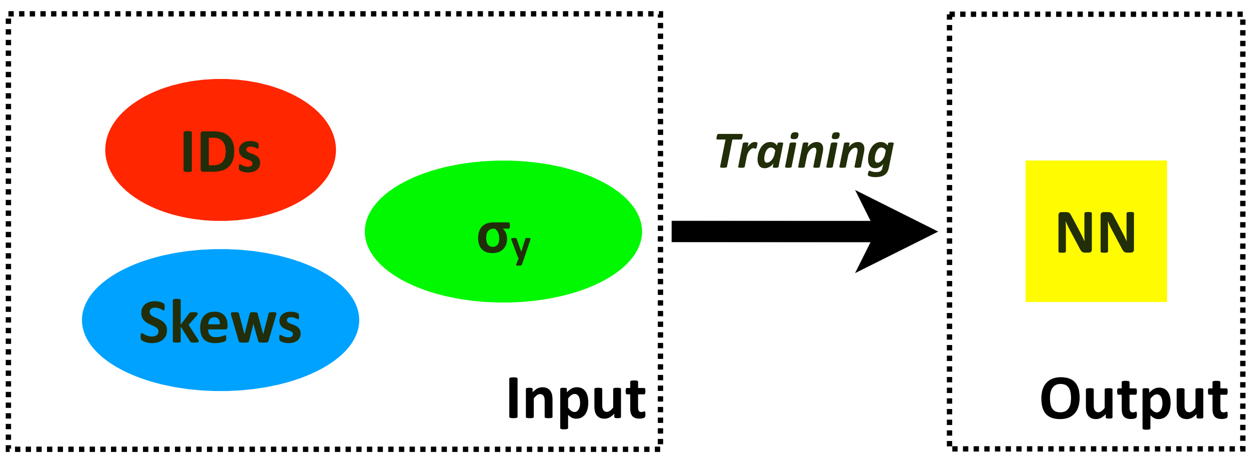


Deep Learning: How we Trained the NN



Input Layer: ID settings (22-35 Dimension) and DWP (1 Dimension)
Three Hidden Fully Connected Layers: 128, 64, 32 neurons in each layer
Output Layer: Vertical Beam Size (1 Dimension)

Regularization: L_2 regularizer with $\lambda = 10^{-4}$
Optimization: Adam Optimizer with learning rate $\alpha = 10^{-3}$



	Raw Data		With Square Features	
Architecture	Training MSE	Evaluation MSE	Training MSE	Evaluation MSE
128-64	0.0265	0.0268	0.0257	0.0260
256-64	0.0243	0.0245	0.0259	0.0262
512-128	0.0243	0.0247	0.0243	0.0247
128-64-32	0.0238	0.0242	0.0243	0.0245
256-128-64	0.0236	0.0240	0.0240	0.0246
256-128-64-32	0.0245	0.0249	0.0245	0.0248

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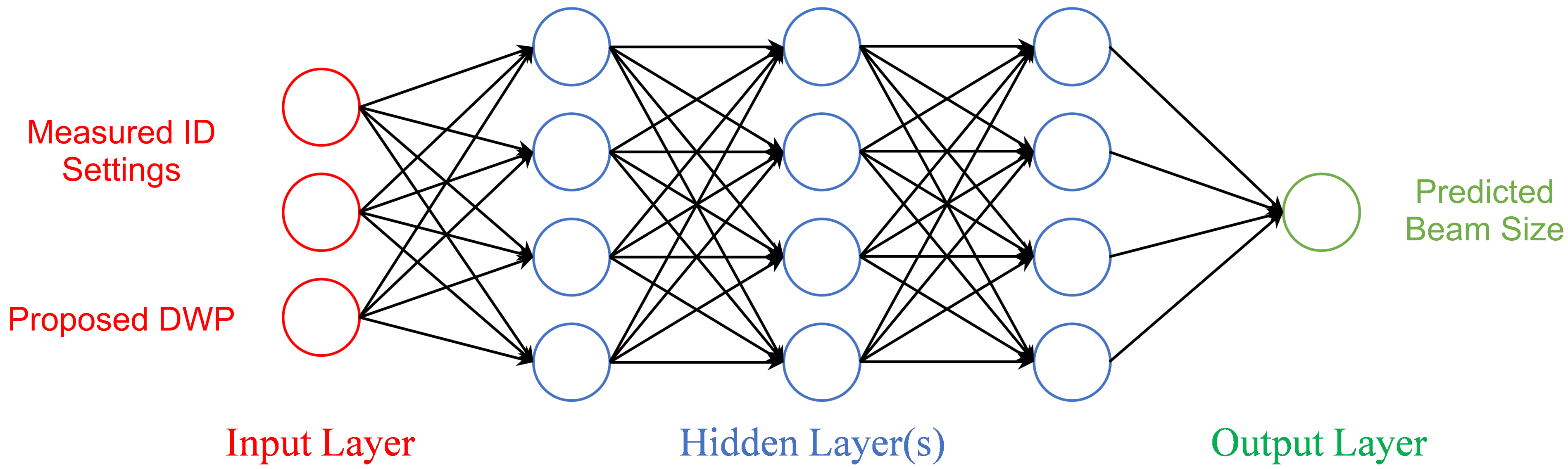
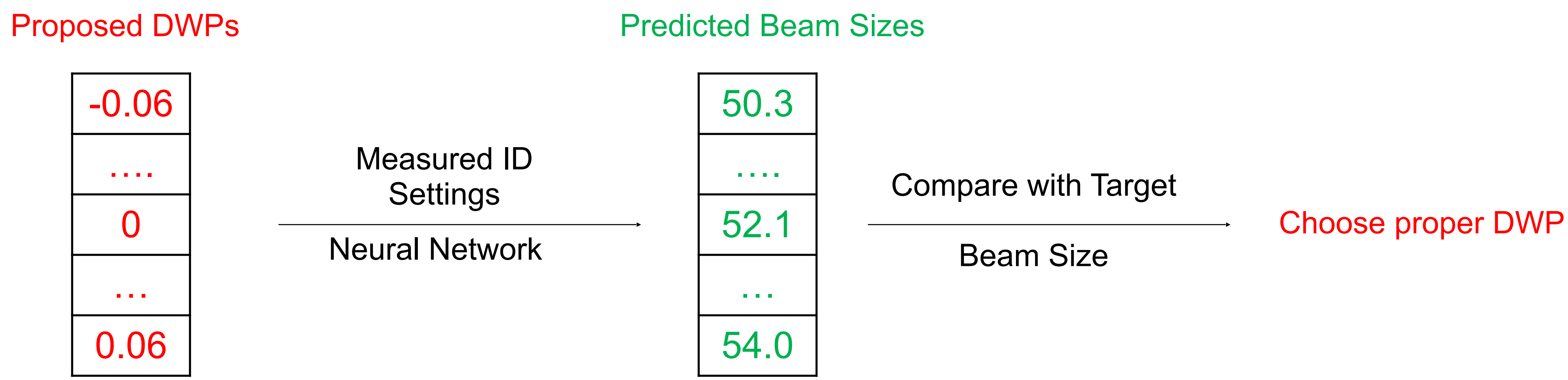
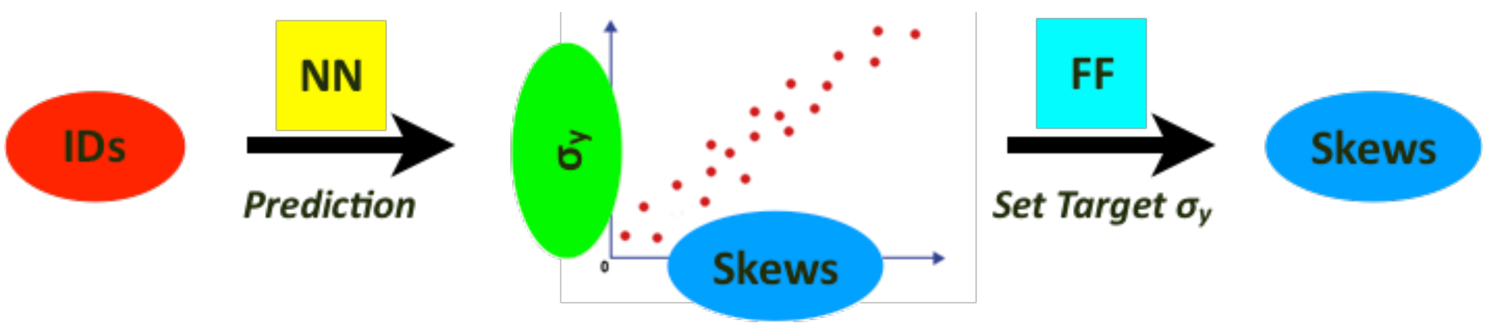
Resulting NN Enables ID Feed-Forward @ ≈3 Hz







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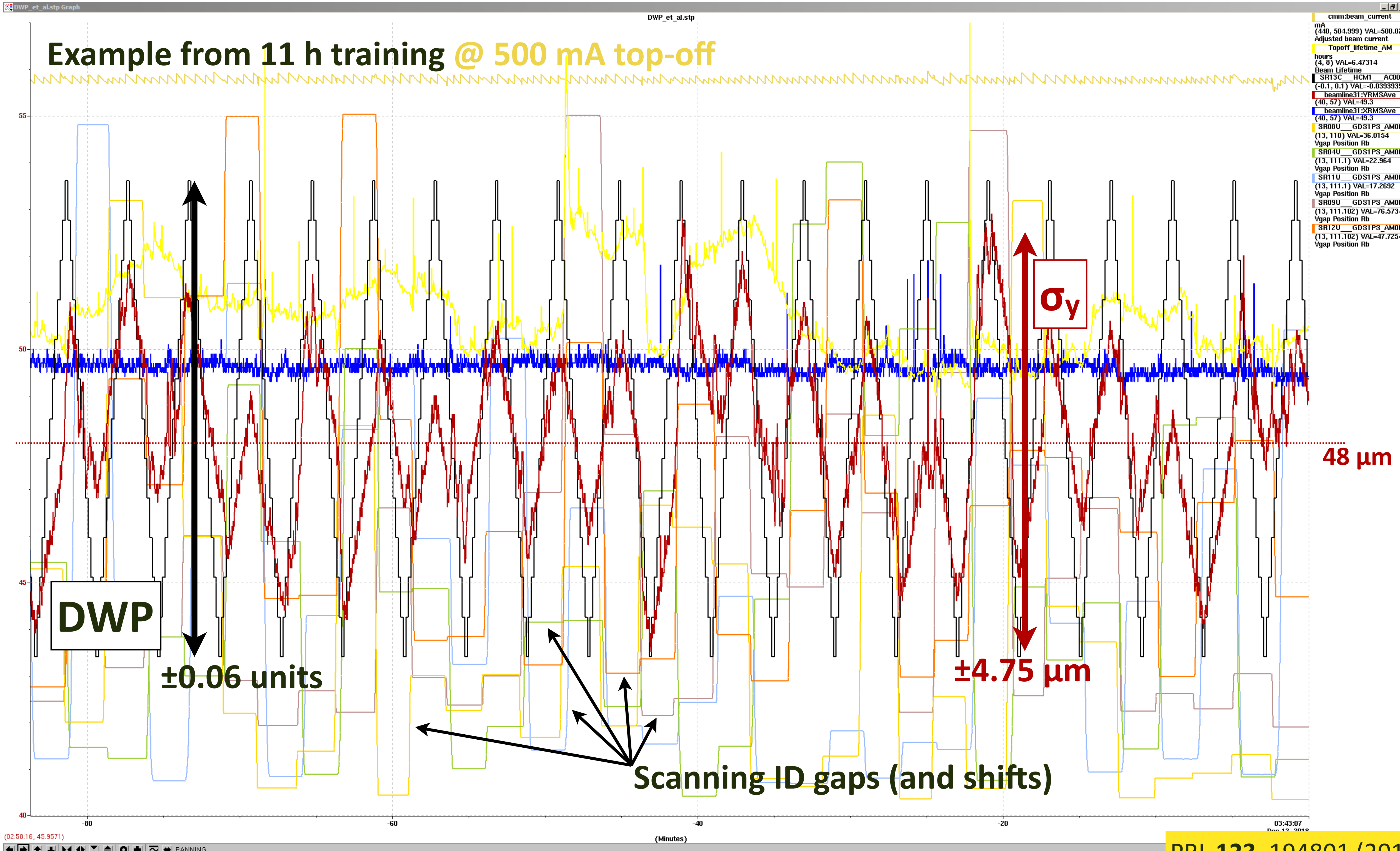
Physics Shift: Data Collection for NN Training



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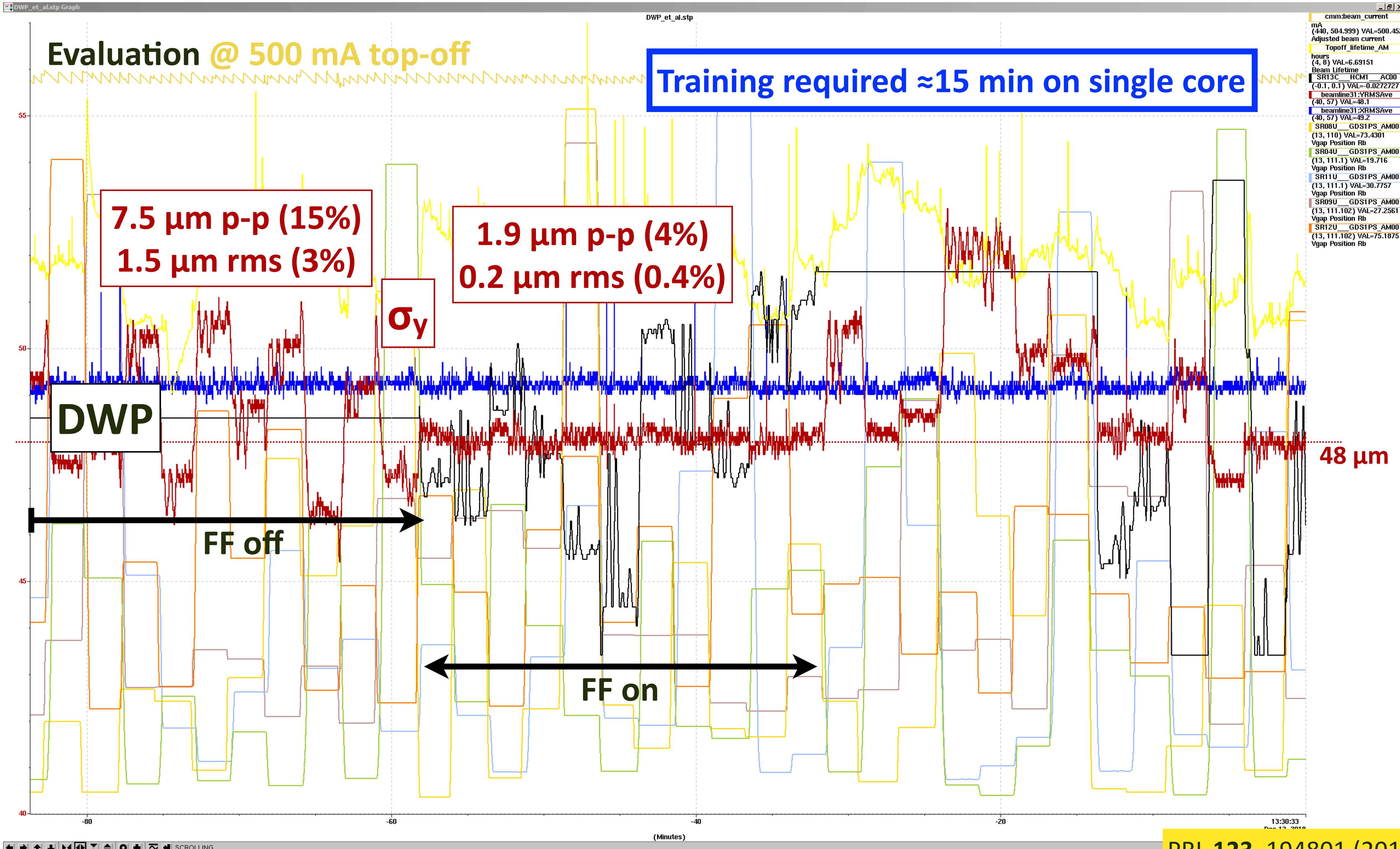
Physics Shift: Running NN-based ID Feed-Forward



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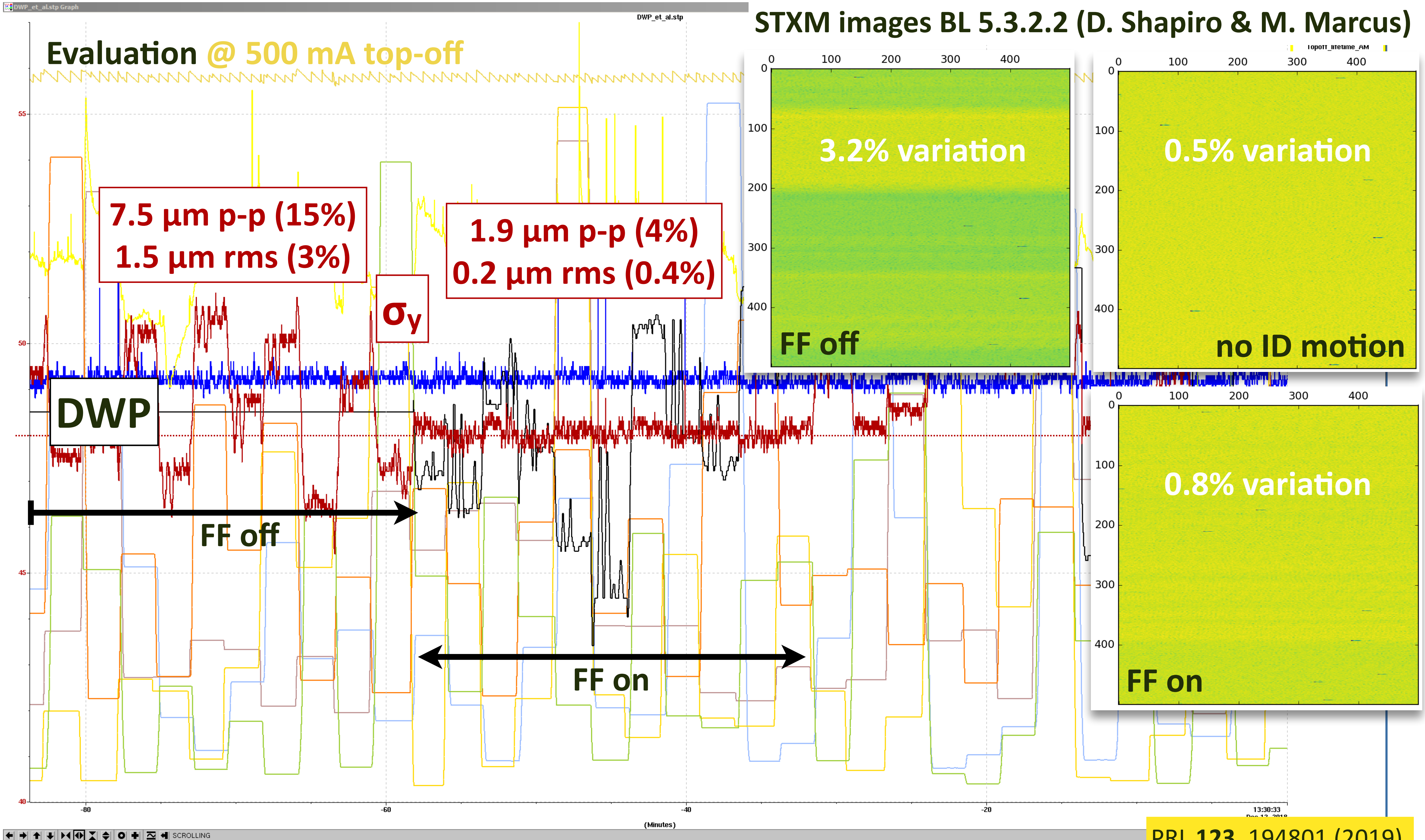

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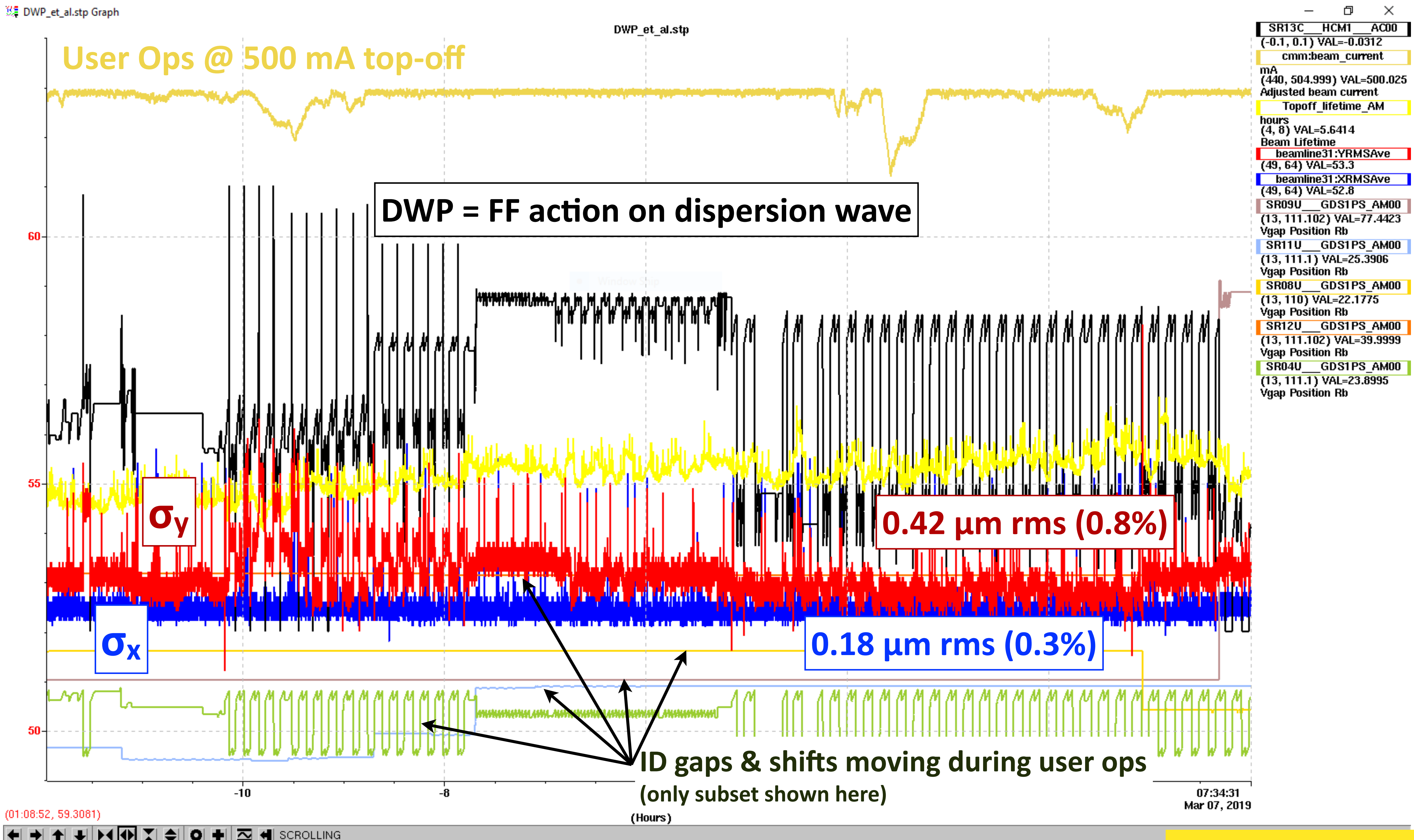
First Operation During User Ops: Stabilization Confirmed



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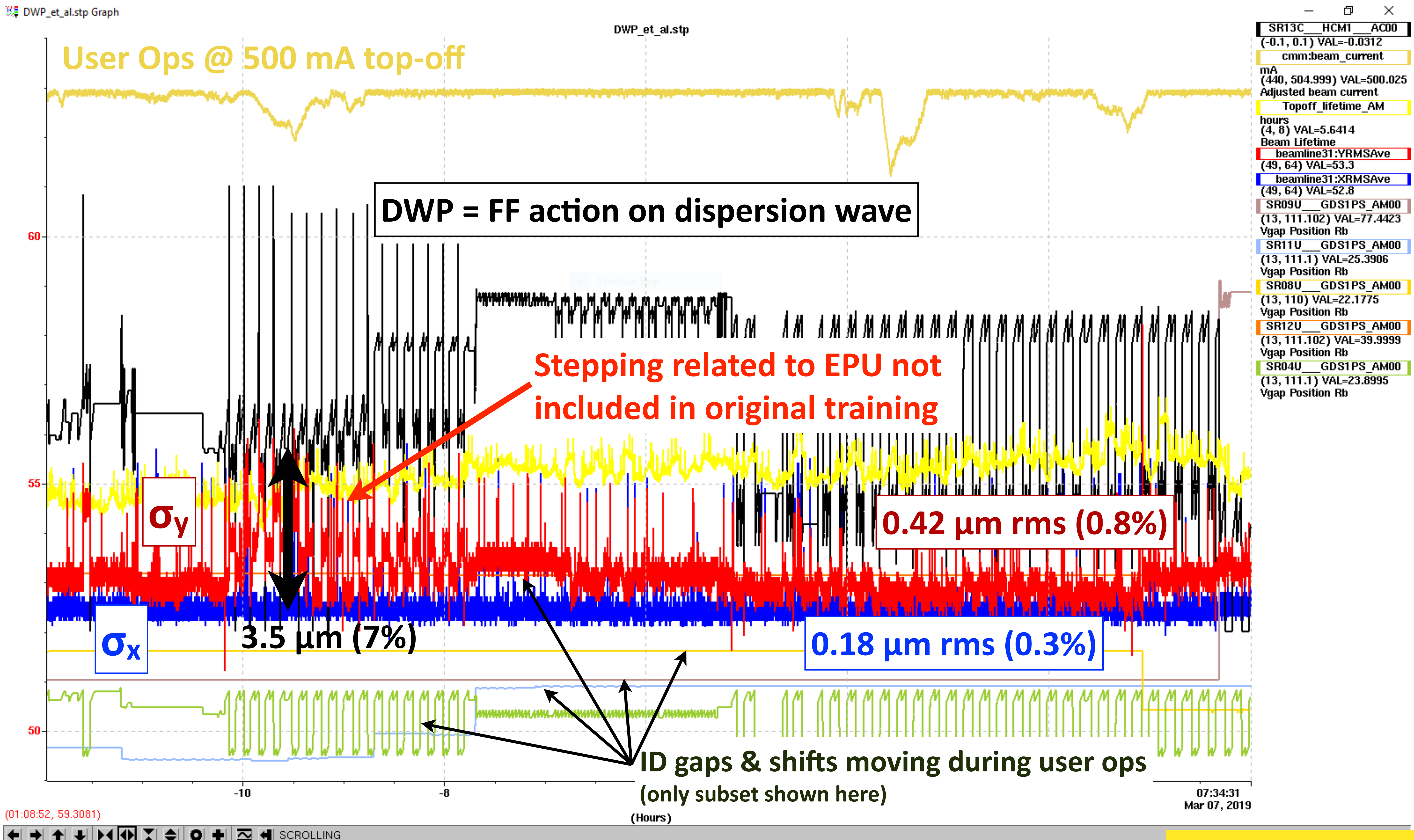

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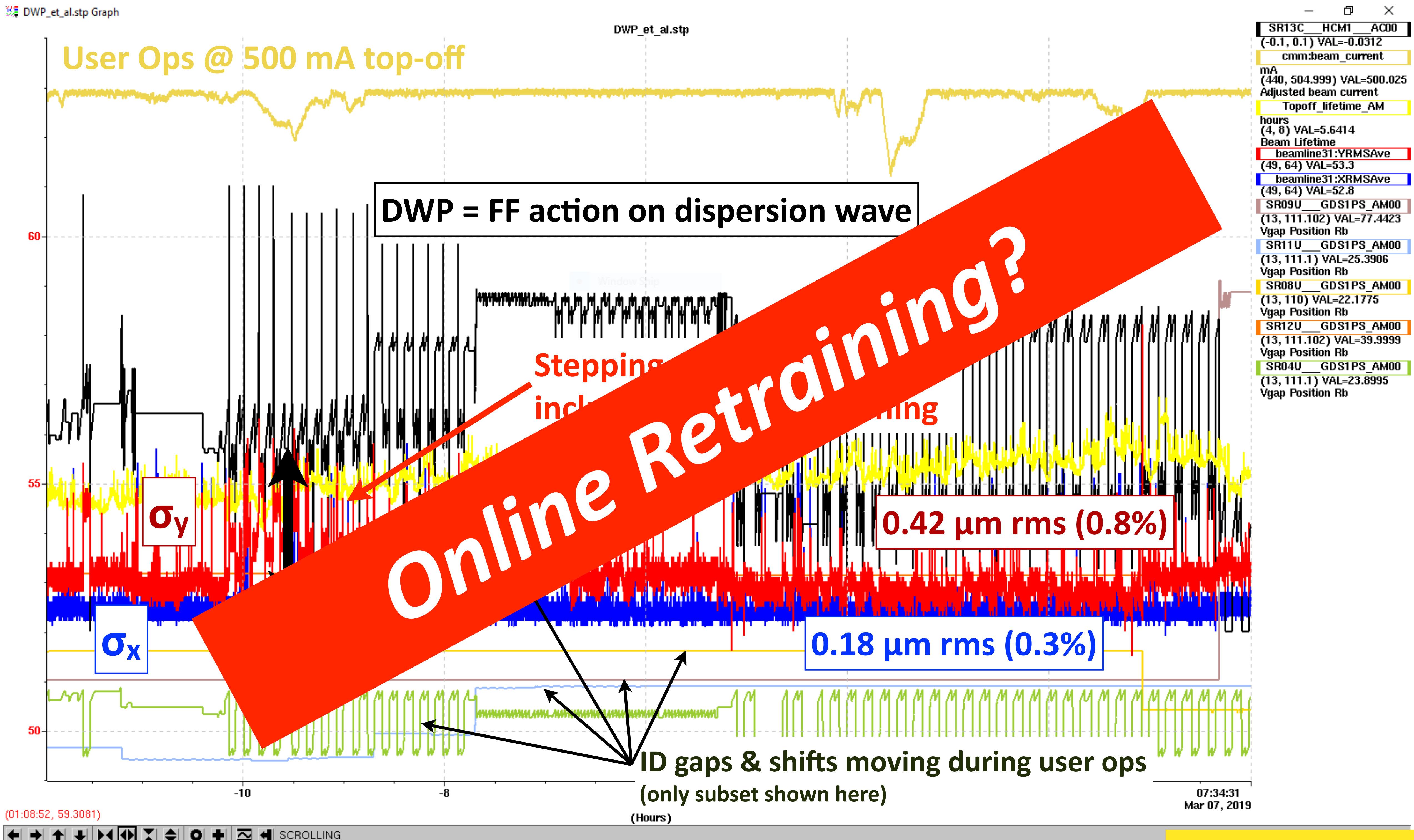

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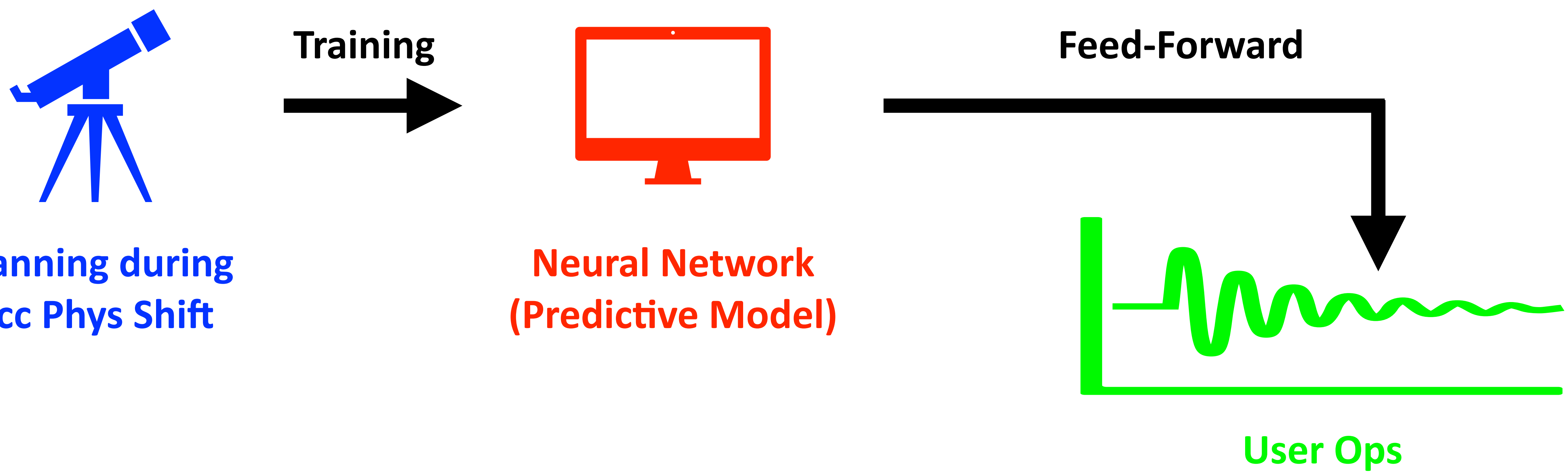
Online Retraining: Improve NN with User Ops Data

So far: "Conventional" Machine Learning



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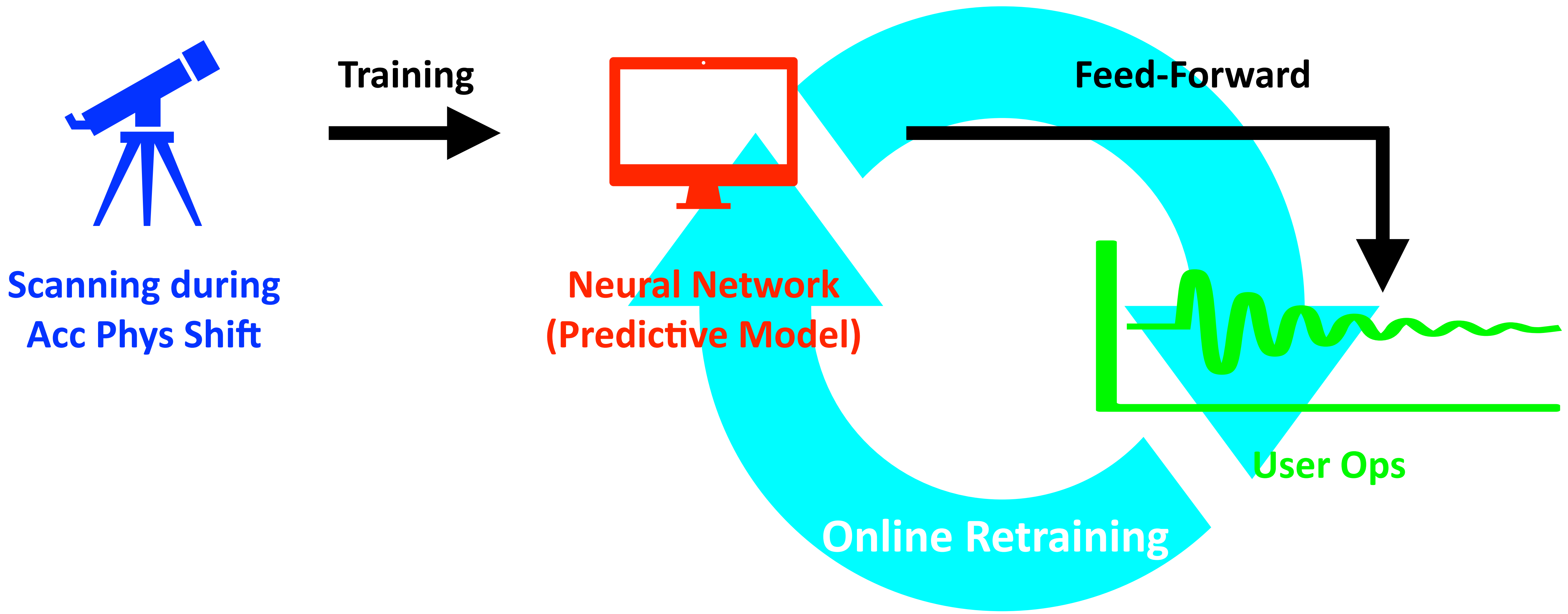
Online Retraining: Improve NN with User Ops Data

Online Retraining: apply user ops data to improve NN → swap NN used for ID FF on the fly



NN can be continuously online retrained during user ops to improve FF performance (exploiting huge amounts of data acquired during user ops)

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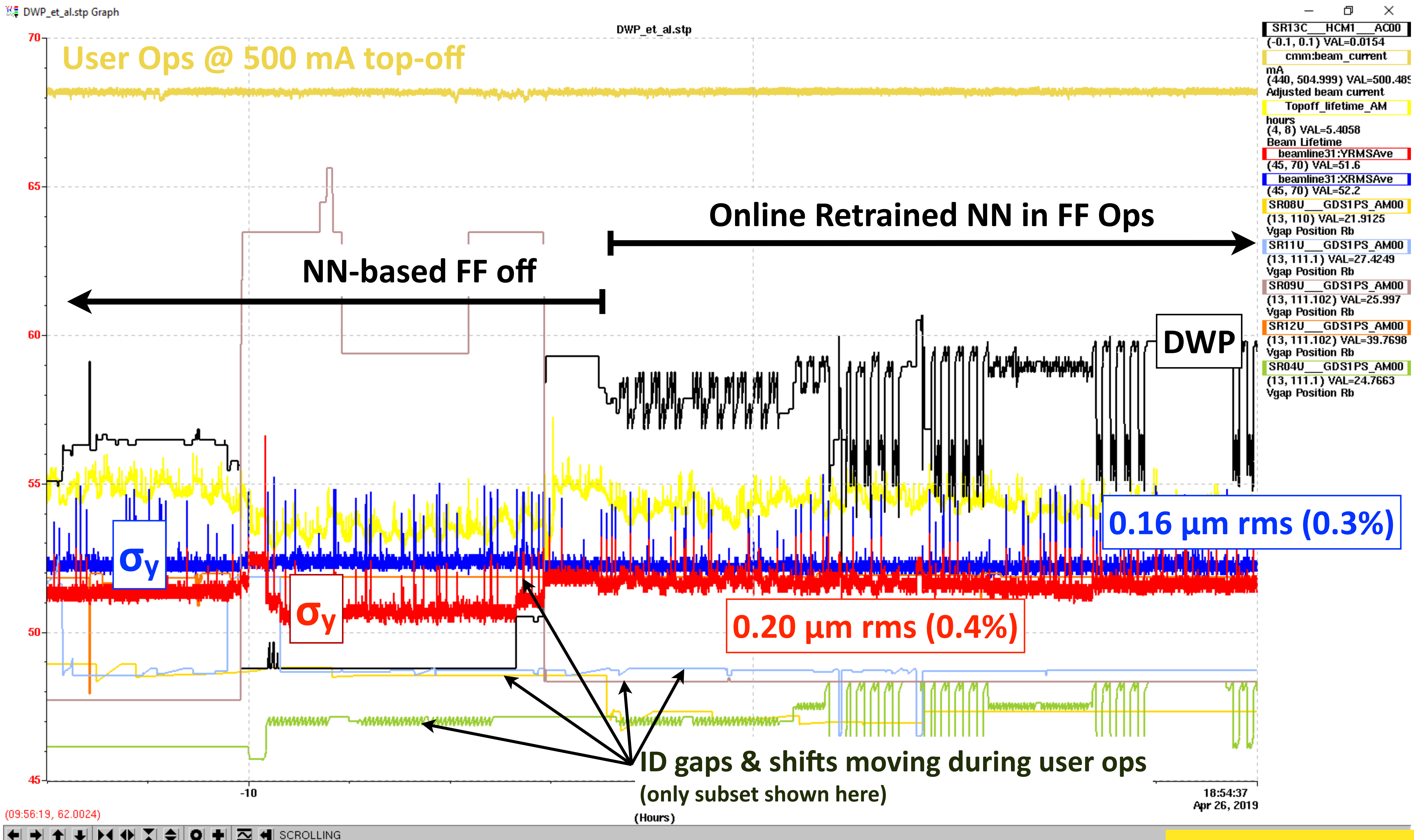
Substantial Improvement After Online Retraining



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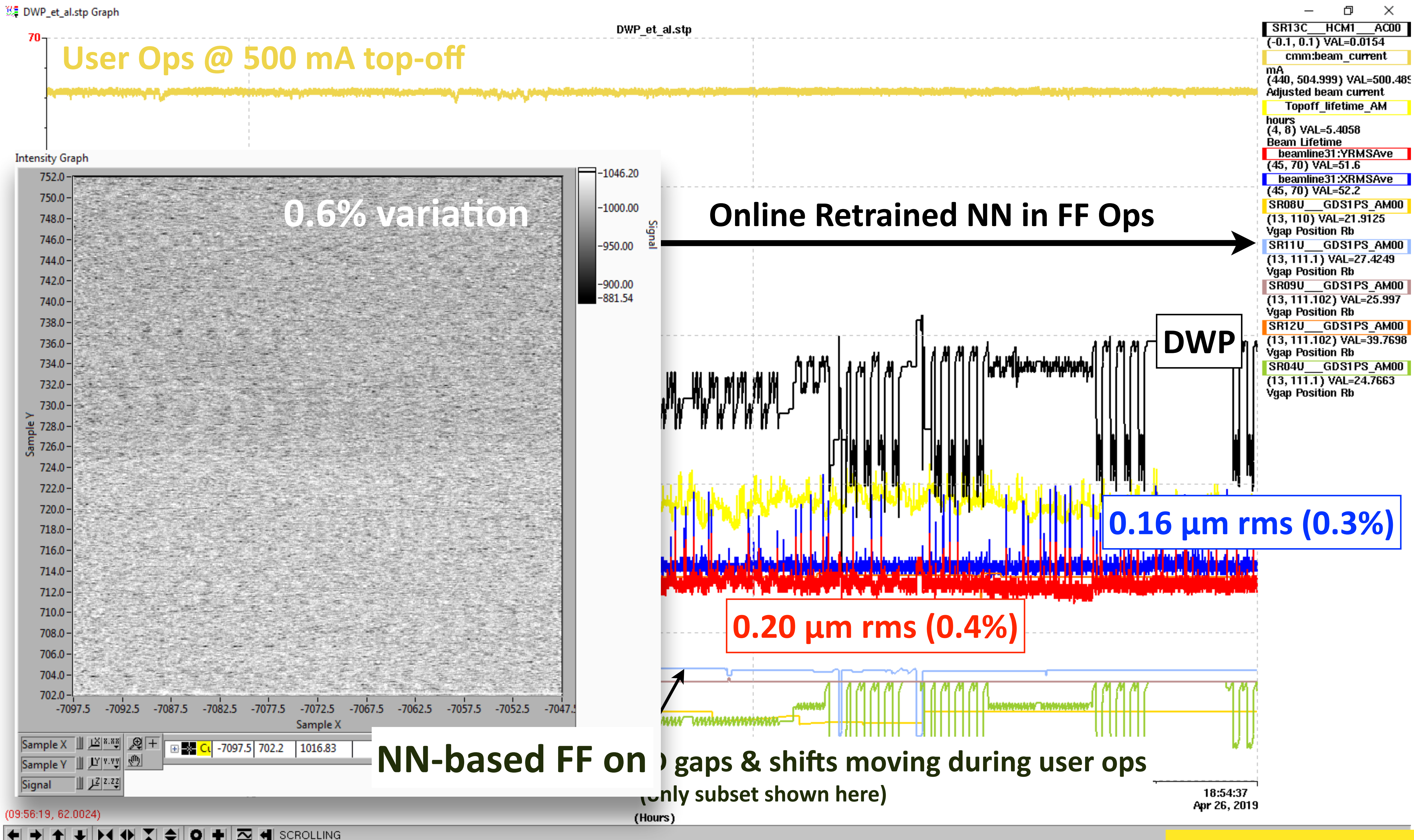

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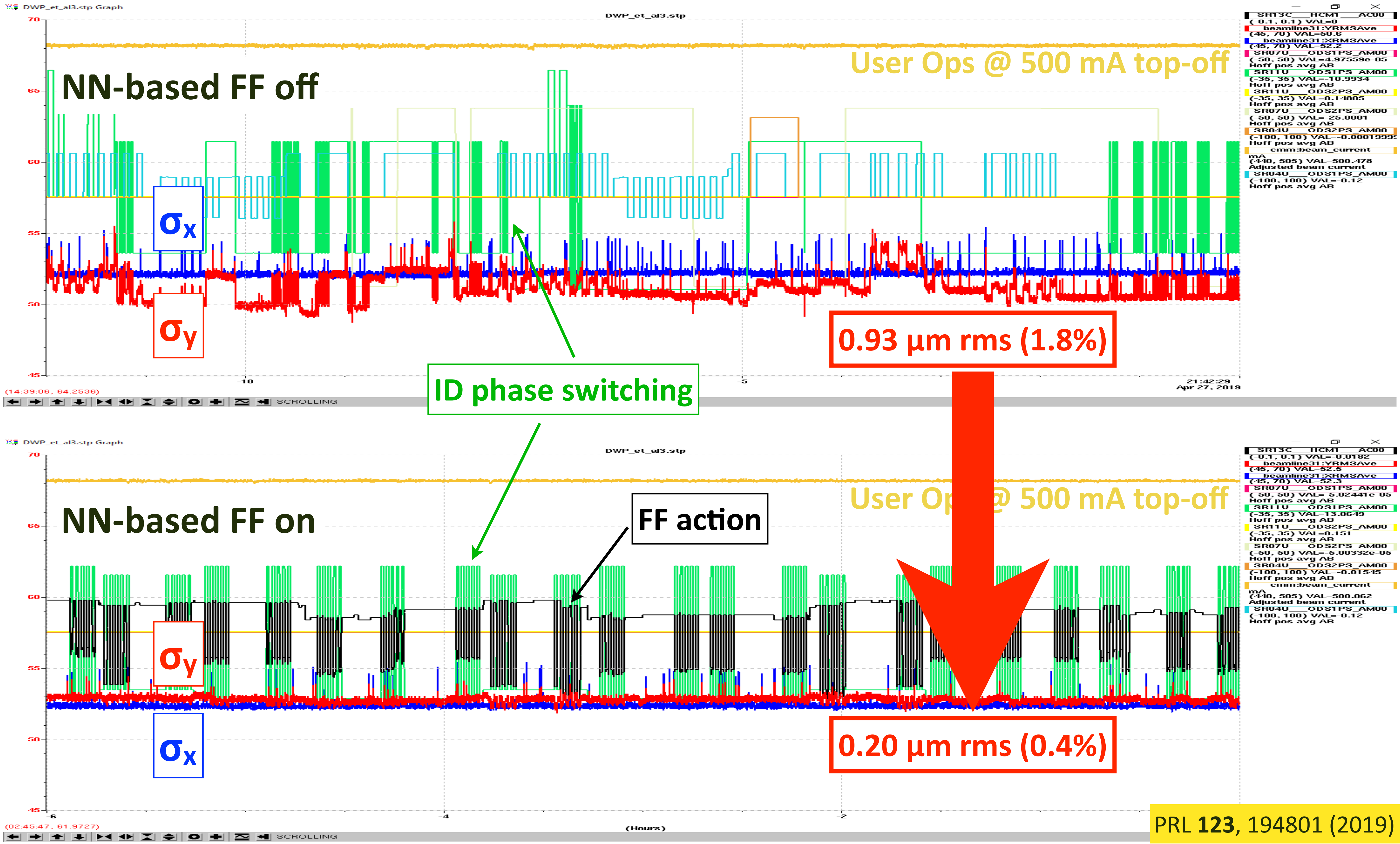
Results: NN-based FF Off vs. On During User Ops



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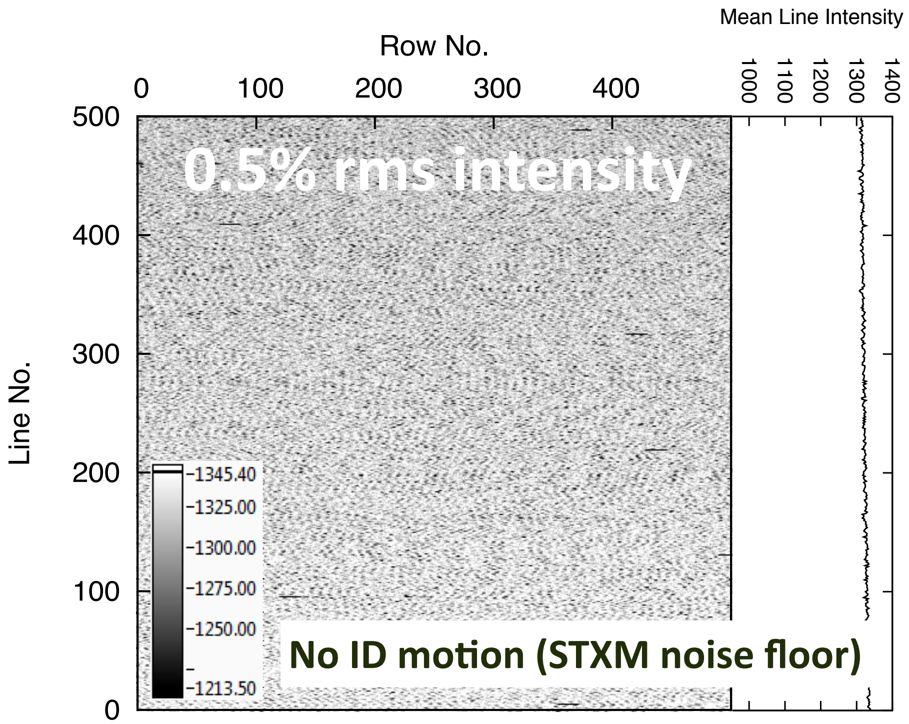
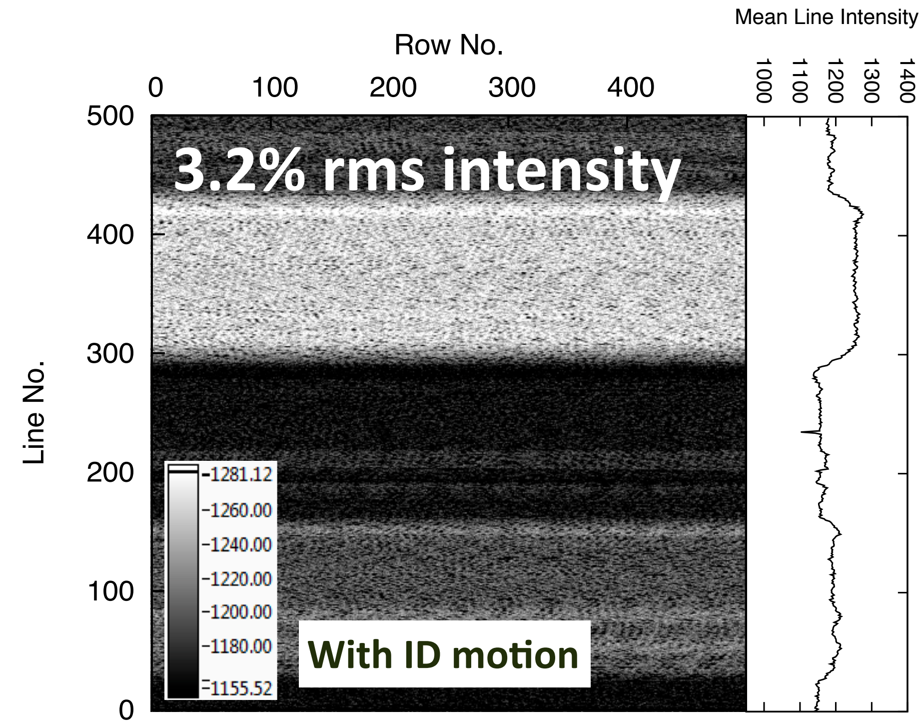
Stabilization Confirmed at Experiment

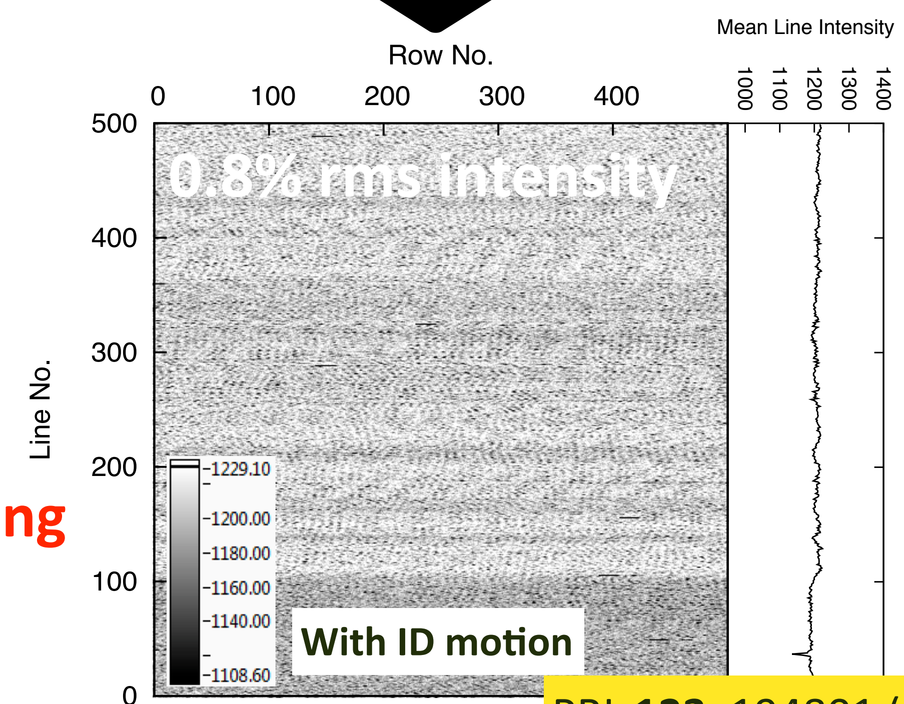
ALS Beamline 5.3.2.2



ID Motion



NN-based FF on



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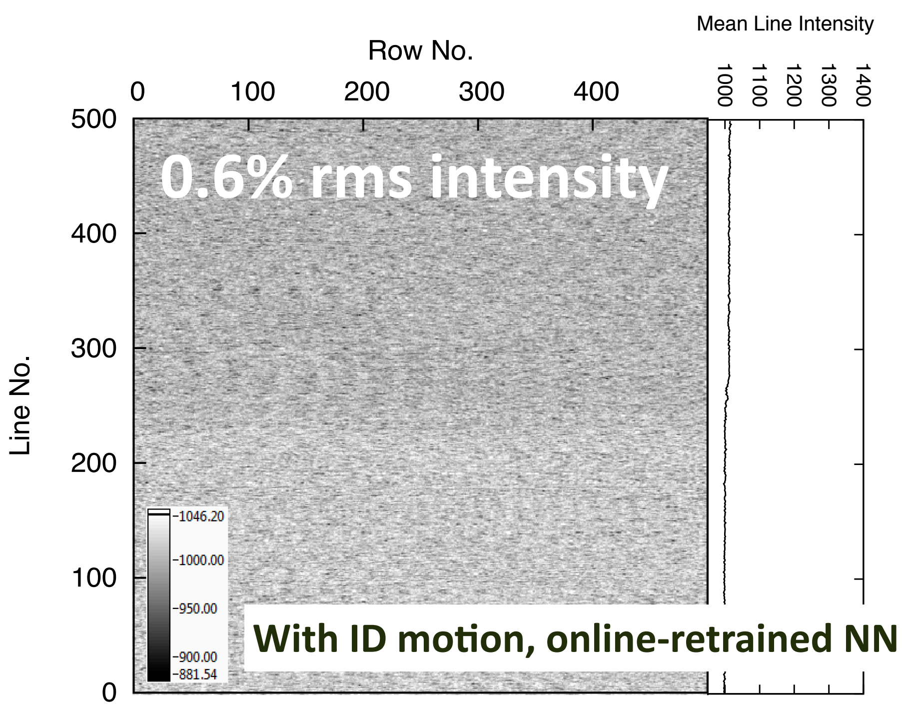
Online Retraining



Noise reduced to almost floor level

Thank You!

Questions? → SCLeemann@lbl.gov

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